



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification: C05G 59/68, H01L 23/02	A1	(11) International Publication Number: WO 99/05196 (43) International Publication Date: 4 February 1999 (04.02.99)
<p>(21) International Application Number: PCT/US98/15578</p> <p>(22) International Filing Date: 22 July 1998 (22.07.98)</p> <p>(30) Priority Data: 60/053,592 24 July 1997 (24.07.97) US</p> <p>(71) Applicant (for all designated States except US): LOCTITE CORPORATION [US/US]; Hartford Square North, 10 Columbus Boulevard, Hartford, CT 06106-5108 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): KONARSKI, Mark [US/US]; 22 South Cove Road, Old Saybrook, CT 06475 (US). SZCZEPNIAK, Zbigniew, A. [PL/US]; Apartment 26, 29A Cedar Street, Meriden, CT 06450 (US).</p> <p>(74) Agent: BAUMAN, Steven, C.; Loctite Corporation, Hartford Square North, 10 Columbus Boulevard, Hartford, CT 06106-5018 (US).</p>		<p>(81) Designated States: AU, BR, CA, CN, ID, JP, KR, MX, RU, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published With international search report.</p>
<p>(54) Title: THERMOSETTING RESIN COMPOSITIONS USEFUL AS UNDERFILL SEALANTS</p> <div data-bbox="435 1094 1143 1507"> </div> <p>(57) Abstract</p> <p>The present invention provides a thermosetting resin composition useful as an underfilling sealant composition which rapidly fills the underfill space in a semiconductor device, such as a flip chip assembly which includes a semiconductor chip mounted on a carrier substrate, enables the semiconductor to be securely connected to a circuit board by short-time heat curing and with good productivity, and demonstrates acceptable heat shock properties (or thermal cycle properties). The thermosetting resin composition which is used as an underfilling sealant between such a semiconductor device and a circuit board to which the semiconductor device is electrically connected, includes an epoxy resin component and a latent hardener component. The latent hardener component includes a cyanate ester component and an imidazole component.</p>		

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MX	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

-1-

THERMOSETTING RESIN COMPOSITIONS  
USEFUL AS UNDERFILL SEALANTS

5                    BACKGROUND OF THE INVENTION

Field of the Invention

                  This invention relates to thermosetting resin  
compositions useful as underfill sealants for mounting under  
a circuit board direct chip attach ("DCA") packages, which  
10       have a semiconductor chip on a carrier substrate.

Brief Description of Related Technology

                  In recent years, the popularity of smaller-sized  
electronic appliances has made desirable size reduction of  
semiconductor devices. As a result, chip packages are  
15       becoming reduced in size to substantially that of the bare  
die themselves. Such smaller-sized chip packages improve  
the characteristics of the microelectronic device in which  
it is used, while retaining many beneficial operating  
features. This serves to protect semiconductor bare chips,  
20       and increases their reliability and useful life.

                  Ordinarily, chip assemblies are connected to  
electrical conductors on a circuit board by use of solder  
connection or the like. However, when the resulting

chip/circuit board structure is subjected to conditions of thermal cycling, reliability becomes suspect due to fatigue of the solder connection between the circuit board and the chip assembly. Recent manufacturing advances provide a  
5 sealing resin (often referred to as underfill sealant) in the space created by the mounting of a DCA [such as a chip scale package ("CSP")/ball grid array ("BGA") assembly] onto a circuit board to relieve stresses caused by thermal cycling. Underfill sealants have been seen to improve heat  
10 shock properties and enhance the reliability of such structures.

Of course, curable resin compositions generally are known. See e.g., U.S. Patent No. 4,645,803 (Kohli) which relates to curable epoxy resin compositions of  
15 reinforcing filaments and epoxy resins together with a primary amine-functional curing agent with or without a polyamine curing agent and a curing catalyst which when cured into a fiber matrix is useful in preparing composites and prepreg materials for structural applications.

20 In addition, U.S. Patent No. 4,499,245 (Ikeguchi) relates to a curable resin composition requiring a mixture and/or a reaction product of (a) a polyfunctional cyanate ester, prepolymer of the cyanate ester or coprepolymer of the cyanate ester and an amine and (b) a polyhydantion resin  
25 -- a phenolic-based epoxy curative. In addition, a polyfunctional maleimide, prepolymer of the maleimide or coprepolymer of the maleimide and an amine may be included as a component (c). These compositions are reported to be useful as coating materials for rust prevention, flame  
30 resistance, flame retardation; electrical insulation varnish; and laminates for use with furniture, building materials, and sheathing materials.

And more specifically thermosetting compositions of cyanate esters and epoxy resins are also generally known.  
35 See e.g., Japanese patent document JP 62-275,123, an English-language abstract of which speaks to a resin composition for preparing prepreg materials with reinforcing fiber for structural applications. The compositions are

reported to include certain cyanate esters, bismaleimide, polyether sulfone (as a non-reactive thermoplast whose use is as a toughening agent) and bisphenol F- or A-type epoxy resin. In addition, the composition is reported to be optionally hardened by a hardening catalyst, one of which is noted as imidazole.

U.S. Patent No. 4,918,157 (Gardner) relates to the use of urea compounds as latent cure accelerators for cyanate esters, and to thermosetting cyanate ester formulations of cyanate esters and urea compounds. More specifically, the '157 patent claims a thermosetting composition of a cyanate ester; a urea compound selected from alkyl aryl ureas, aryl ureas and mixtures thereof; and an epoxy resin. The curable cyanate ester formulations of the '157 patent are reportedly useful as matrix resins, and for the production of prepreg, fiber-reinforced laminates, composites and the like.

Epoxy curing systems are also known. See e.g., U.S. Patent No. 3,862,260 (Sellers), in which a curing agent of a trifunctional hardener (such as the reaction product of one mole of bisphenol A with one mole of formaldehyde) and an imidazole is disclosed.

These uses for thermosetting resin compositions appear to be directed to structural applications, as contrasted to the microelectronic application to which the compositions of the present invention are directed. To that end, the use of epoxy resin compositions as matrix compositions for fiber reinforcement in prepreg, composite and laminate materials for structural materials differs significantly from the use of epoxy resin compositions as an adhesive and encapsulant in microelectronic applications, such as with electrical solder junctions in semiconductor chips, and creates different demands and requirements from the uncured resin as well as cured reaction products thereof.

A drawback to resin compositions presently used in microelectronics applications, such as for underfill sealants, is their extended cure schedule. In addition,

providing such resins with a commercially acceptable useful working life at room temperature or dispensing temperatures has been problematic.

Generally, at temperatures near room temperature, the resins begin to cure upon introduction of the curing agent or catalyst. This causes viscosity increases which leads to reduced dispensability. While such viscosity increase may be alleviated to some degree by using a liquid curing agent or catalyst, liquid catalysts tend to decrease latency to a point which is not commercially practical with current production demands. And introduction of a solid catalyst, such as imidazole, has limited applicability because its presence often changes the rheological properties of the composition, and decreases flow.

Thus, due at least in part to their extended cure schedules and limited useful working life, manufacturing capacity of certain microelectronic production lines has been hampered.

While seemingly simple, the solution to the problem of enhancing the cure speed of recently-used underfill sealants has ordinarily adversely impacted their useful working life. Thus, in the event that presently-used underfill sealants could be rendered more reactive, their useful working life may be further decreased, thereby removing the incentive to prepare a more reactive thermosetting resin composition for underfill sealing.

Accordingly, it would be desirable for an underfilling sealant composition to provide good adhesive properties while flowing and curing in a sufficiently quick time to be commercially appealing and possessing an extended useful working life.

#### SUMMARY OF THE INVENTION

The present invention provides a thermosetting resin composition useful as an underfilling sealant composition which (1) rapidly fills the underfill space in a semiconductor device, such as a flip chip assembly which includes a semiconductor chip mounted on a carrier

substrate, (2) enables the semiconductor to be securely connected to a circuit board by short-time heat curing and with good productivity, and (3) demonstrates excellent heat shock properties (or thermal cycle properties).

5           The thermosetting resin compositions of this invention which are used as underfill sealants between such a semiconductor device and a circuit board to which the semiconductor device is electrically connected, includes an epoxy resin component and a latent hardener component. The  
10       latent hardener component includes a cyanate ester component and an imidazole component.

          By using the thermosetting resin compositions of this invention, semiconductor devices, such as flip chip assemblies, may be (1) assembled quickly and without  
15       production line down time because of improved cure speed and extended useful working life, and (2) securely connected to a circuit board by short-time heat curing of the composition, with the resulting mounted structure (at least in part due to the cured composition) demonstrating  
20       excellent heat shock properties (or thermal cycle properties).

          The compositions of this invention may also be used for microelectronic applications beyond sealing underfill, such as with glob top, die attachment and other  
25       applications for thermosetting compositions in which rapid cure time and an extended useful working life are desirable.

          The benefits and advantages of the present invention will become more readily apparent after a reading of the "Detailed Description of the Invention" together with  
30       the figure.

#### BRIEF DESCRIPTION OF THE FIGURE

          Figure 1 depicts a cross-sectional view showing an example of a mounted structure with which the thermosetting resin composition of the present invention is used as an  
35       underfill sealant.

DETAILED DESCRIPTION OF THE INVENTION

As noted above, the thermosetting resin compositions which are useful as underfill sealants between a semiconductor device and a circuit board to which the semiconductor device is electrically connected, include an epoxy resin component and a latent hardener component. The latent hardener component includes a cyanate ester component and an imidazole component.

Typically, the composition includes about 100 parts by weight of the epoxy resin component, and 0 to about 30 parts by weight of the latent hardener component, of which 0 to about 15 parts is comprised of the cyanate ester component and 0 to about 15 parts is comprised of the imidazole component. Desirably, the latent hardener component should include about 4 parts each of the cyanate ester component and the imidazole component.

The epoxy resin component of the present invention may include any common epoxy resin. This epoxy resin may be comprised of at least one multifunctional epoxy resin, optionally, together with at least one monofunctional epoxy resin. Ordinarily, the multifunctional epoxy resin should be included in amount within the range of about 20 parts to about 100 parts of the epoxy resin component. In the case of bisphenol F-type epoxy resin, desirably the amount thereof should be in the range of from about 40 to 80 parts.

A monofunctional epoxy resin, if present, should ordinarily be used as a reactive diluent, or crosslink density modifier. In the event such a monofunctional epoxy resin is included as a portion of the epoxy resin component, such resin should be employed in an amount of up to about 20% by weight based on the total epoxy resin component.

The monofunctional epoxy resin should have an epoxy group with an alkyl group of about 6 to about 28 carbon atoms, examples of which include C<sub>6</sub>-C<sub>28</sub> alkyl glycidyl ethers, C<sub>6</sub>-C<sub>28</sub> fatty acid glycidyl esters and C<sub>6</sub>-C<sub>28</sub> alkylphenol glycidyl ethers.

Such epoxy resin(s) include generally, but are not limited to, polyglycidyl ethers of polyvalent phenols, for



example pyrocatechol; resorcinol; hydroquinone;

4,4'-dihydroxydiphenyl methane

4,4'-dihydroxy-3,3'-dimethyldiphenyl methane;

4,4'-dihydroxydiphenyl dimethyl methane;

5 4,4'-dihydroxydiphenyl methyl methane;

4,4'-dihydroxydiphenyl cyclohexane;

4,4'-dihydroxy-3,3'-dimethyldiphenyl propane;

4,4'-dihydroxydiphenyl sulfone;

10 tris(4-hydroxyphenyl)methane; polyglycidyl ethers of the  
chlorination and bromination products of the above-mentioned  
diphenols; polyglycidyl ethers of novolacs (*i.e.*, reaction  
products of monohydric or polyhydric phenols with aldehydes,  
formaldehyde in particular, in the presence of acid  
catalyst; polyglycidyl ethers of diphenols obtained by  
15 esterifying 2 moles of the ethers of diphenols obtained by  
esterifying 2 moles of the sodium salt of an aromatic  
hydrocarboxylic acid with 1 mole of a dihaloalkane or  
dihalo dialkyl ether (*see* U.K. Pat. No. 1,017,612, the  
disclosure of which is hereby expressly incorporated herein  
20 by reference); and polyglycidyl ethers of polyphenols  
obtained by condensing phenols and long-chain halogen  
paraffins containing at least two halogen atoms (*see* U.K.  
Pat. No. 1,024,288, the disclosure of which is hereby  
expressly incorporated herein by reference).

25 Other suitable epoxy compounds include polyepoxy  
compounds based on aromatic amines and epichlorohydrin, such  
as N,N'-diglycidyl-aniline;

N,N'-dimethyl-N,N'-diglycidyl-4,4'-diaminodiphenyl methane;

N,N,N',N'-tetraglycidyl-4,4'-diaminodiphenyl methane;

30 N-diglycidyl-4-aminophenyl glycidyl ether; and

N,N,N',N'-tetraglycidyl-1,3-propylene bis-4-aminobenzoate.

Examples of the multifunctional epoxy resin  
include bisphenol A-type epoxy resin, bisphenol F-type epoxy  
resin, phenol novolac-type epoxy resin, and cresol  
35 novolac-type epoxy resin.

Among the epoxy resins suitable for use herein are  
polyglycidyl derivatives of phenolic compounds, such as  
those available commercially under the tradenames EPON 828,

EPON 1001, EPON 1009, and EPON 1031, from Shell Chemical Co.; DER 331, DER 332, DER 334, and DER 542 from Dow Chemical Co.; and BREN-S from Nippon Kayaku, Japan. Other suitable epoxy resins include polyepoxides prepared from polyols and the like and polyglycidyl derivatives of phenol-formaldehyde novolacs, the latter of which are available commercially under the tradenames DEN 431, DEN 438, and DEN 439 from Dow Chemical Company. Cresol analogs are also available commercially ECN 1235, ECN 1273, and ECN 1299 from Ciba-Geigy Corporation. SU-8 is a bisphenol A-type epoxy novolac available from Interez, Inc. Polyglycidyl adducts of amines, aminoalcohols and polycarboxylic acids are also useful in this invention, commercially available resins of which include GLYAMINE 135, GLYAMINE 125, and GLYAMINE 115 from F.I.C. Corporation; ARALDITE MY-720, ARALDITE 0500, and ARALDITE 0510 from Ciba-Geigy Corporation and PGA-X and PGA-C from the Sherwin-Williams Co.

And of course combinations of the different epoxy resins are also desirable for use herein.

In choosing epoxy resins for the epoxy resin component of the compositions of the present invention, consideration should also be given to viscosity and other properties thereof.

The cyanate esters useful as a component in the latent hardening component may be chosen from aryl compounds having at least one cyanate ester group on each molecule and may be generally represented by the formula  $\text{Ar}(\text{OCN})_m$ , where  $m$  is an integer from 2 to 5 and Ar is an aromatic radical. The aromatic radical Ar should contain at least 6 carbon atoms, and may be derived, for example, from aromatic hydrocarbons, such as benzene, biphenyl, naphthalene, anthracene, pyrene or the like. The aromatic radical Ar may also be derived from a polynuclear aromatic hydrocarbon in which at least two aromatic rings are attached to each other through a bridging group. Also included are aromatic radicals derived from novolac-type phenolic resins -- i.e., cyanate esters of these phenolic resins. The aromatic

radical Ar may also contain further ring-attached, non-reactive substituents.

Examples of such cyanate esters include, for instance, 1,3-dicyanatobenzene; 1,4-dicyanatobenzene; 5 1,3,5-tricyanatobenzene; 1,3-, 1,4-, 1,6-, 1,8-, 2,6- or 2,7-dicyanatophthalene; 1,3,6-tricyanatophthalene; 4,4'-dicyanato-biphenyl; bis(4-cyanatophenyl)methane and 3,3',5,5'-tetramethyl bis(4-cyanatophenyl)methane; 2,2-bis(3,5-dichloro-4-cyanatophenyl)propane; 10 2,2-bis(3,5-dibromo-4-dicyanatophenyl)propane; bis(4-cyanatophenyl)ether; bis(4-cyanatophenyl)sulfide; 2,2-bis(4-cyanatophenyl)propane; tris(4-cyanatophenyl)-phosphite; tris(4-cyanatophenyl)phosphate; 15 bis(3-chloro-4-cyanatophenyl)methane; cyanated novolac; 1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene and cyanated bisphenol-terminated polycarbonate or other thermoplastic oligomer.

Other cyanate esters include cyanates disclosed in 20 U.S. Patent Nos. 4,477,629 and 4,528,366, the disclosure of each of which is hereby expressly incorporated herein by reference; the cyanate esters disclosed in U.K. Pat. No. 1,305,702, and the cyanate esters disclosed in International Patent Publication WO 85/02184, the disclosure of each of 25 which is hereby expressly incorporated herein by reference. Of course, combinations of these cyanate esters within the imidizole component of the compositions of the present invention are also desirably employed herein.

A particularly desirable cyanate ester for use 30 herein is available commercially from Ciba Geigy Corporation, Tarrytown, New York under the tradename AROCY 366 (1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene).

The imidizole component of the latent hardener component include imidizoles, such as imidizole and 35 derivatives thereof, such as isoimidizole, imidizole, alkyl substituted imidizoles, such as 2-methyl imidizole, 2-ethyl-4-methylimidizole, 2,4-dimethylimidizole, butylimidizole, 2-heptadecenyl-4-methylimidizole,

2-methylimidazole, 2-undecanylimidazole,  
1-vinyl-2-methylimidazole, 2-n-heptadecylimidazole,  
2-undecylimidazole, 2-heptadecylimidazole,  
2-phenylimidazole, 2-ethyl 4-methylimidazole,  
5 1-benzyl-2-methylimidazole, 1-propyl-2-methylimidazole,  
1-cyanoethyl-2-methylimidazole,  
1-cyanoethyl-2-ethyl-4-methylimidazole,  
1-cyanoethyl-2-undecylimidazole,  
1-cyanoethyl-2-phenylimidazole,  
10 1-guanaminoethyl-2-methylimidazole and addition products of  
an imidazole methylimidazole and addition products of an  
imidazole and trimellitic acid,  
2-n-heptadecyl-4-methylimidazole and the like, generally  
where each alkyl substituent contains up to about 17 carbon  
15 atoms and desirably up to about 6 carbon atoms; aryl  
substituted imidazoles, such as phenylimidazole,  
benzylimidazole, 2-methyl-4,5-diphenylimidazole,  
2,3,5-triphenylimidazole, 2-styrylimidazole, 1-(dodecyl  
benzyl)-2-methylimidazole,  
20 2-(2-hydroxyl-4-t-butylphenyl)-4,5-diphenylimidazole,  
2-(2-methoxyphenyl)-4,5-diphenylimidazole,  
2-(3-hydroxyphenyl)-4,5-diphenylimidazole,  
2-(p-dimethylaminophenyl)-4,5-diphenylimidazole,  
2-(2-hydroxyphenyl)-4,5-diphenylimidazole,  
25 di(4,5-diphenyl-2-imidazole)-benzene-1,4,  
2-napnethyl-4,5-diphenylimidazole,  
1-benzyl-2-methylimidazole, 2-p-methoxystyrylimidazole, and  
the like generally where each aryl substituent contains up  
to about 10 carbon atoms and desirably up to about 8 carbon  
30 atoms.

Of course, combinations of these imidazoles are  
also desirable as the imidazole component of the latent  
hardener component of the compositions of the present  
invention.

35 The thermosetting resin compositions of the  
present invention may be of the one-pack type, in which all  
the ingredients are mixed together, or of the two-pack type  
in which the epoxy resin component and latent hardener

component are stored separately and mixed together prior to use.

During application, the thermosetting resin compositions according to the present invention penetrate  
5 and flow readily into the space between the circuit board and the semiconductor device, or at least show a reduction in viscosity under heated or use conditions thus penetrating and flowing easily.

Generally, it is desirable to prepare the  
10 thermosetting resin compositions of this invention by selecting the types and proportions of various so that the gel times will be tailored to a specified period of time (such as 1 minute or 2 minutes) at a temperature of about 150°C. In such case, the inventive compositions should show  
15 no or substantially no increase of viscosity after a period of time of about six hours. With such a gel time, the compositions penetrate into the space between the circuit board and the semiconductor device (e.g., of 100 to 200  $\mu\text{m}$ ) relatively rapidly, and allow for a greater number of  
20 assemblies to be filled without observing a viscosity increase in the composition thereby rendering it less effective for application.

Optionally, the thermosetting resin composition of the present invention may further contain other additives  
25 such as defoaming agents, leveling agents, dyes, pigments and fillers. Moreover, the compositions may also contain photopolymerization initiators, provided such materials do not adversely affect the desired properties of the composition.

30 In an additional aspect of this invention, there is provided filled thermosetting compositions. These compositions, in addition to the epoxy resin component and latent hardener component, include a filler component. The filler component acts to lower moisture pick up, and  
35 tends to increase viscosity. Appropriate filler components include silica, alumina, silica-coated aluminum nitride, silver flake and the like.

Generally, about 0.1 to about 300 parts of the

filler component may be used, with about 150 to 160 parts being desirable.

Reference to Figure 1 shows a mounted structure (i.e., a flip chip package) in which a thermosetting resin composition of the present invention has been applied and cured.

The flip chip package 4 is formed by connecting a semiconductor chip (a bare chip) 2 to a carrier substrate 1 (e.g., a circuit board) and sealing the space therebetween suitably with a thermosetting resin composition 3. This semiconductor device is mounted at a predetermined position on the carrier substrate 1, and electrodes 5 and 6 are electrically connected by a suitable electrical connection means 7 and 8, such as solder. In order to improve reliability, the space between the semiconductor chip 2 and the carrier substrate 1 is sealed with a thermosetting resin composition 3, and then cured. The cured product of the thermosetting resin composition should completely fill that space.

Carrier substrates may be constructed from ceramic substrates of  $Al_2O_3$ ,  $SiN_3$ , and mullite ( $Al_2O_3-SiO_2$ ); substrates or tapes of heat-resistant resins, such as polyimides; glass-reinforced epoxy; ABS and phenolic substrates which are also used commonly as circuit boards; and the like. Any electrical connection of the semiconductor chip to the carrier substrate may be used, such as connection by a high-melting solder or electrically (or anisotropically) conductive adhesive, wire bonding, and the like. In order to facilitate connections, the electrodes may be formed as bumps.

In a typical mounting process, solder ball (e.g., in cream or form) may be printed at appropriate positions on a carrier substrate and suitably dried to expel solvent. A semiconductor chip may then mounted in conformity with the pattern on the carrier substrate. This carrier substrate is then passed through a reflowing furnace to melt the solder to connect the semiconductor chip. Moreover, the solder may be applied or formed on either the carrier substrate or the

semiconductor chip. Alternatively, this connection may also be made by an electrically conductive adhesive or an anisotropically conductive adhesive.

After the semiconductor chip is electrically  
5 connected to the carrier substrate, the resulting structure is ordinarily subjected to a continuity test or the like. After passing such test, the semiconductor chip may be fixed thereto with a thermosetting resin composition, as described below. In this way, in the event of a failure, the  
10 semiconductor chip may be removed before it is fixed to the carrier substrate with the thermosetting resin composition.

Using a suitable application means, such as a dispenser, a thermosetting resin composition in accordance with this invention is applied to the periphery of the  
15 electronically-connected semiconductor chip. The composition penetrates by capillary action into the space between the carrier substrate and the semiconductor chip.

The thermosetting resin composition is then thermally cured by the application of heat. During the  
20 early stage of this heating, the thermosetting resin composition shows a significant reduction in viscosity and hence an increase in fluidity, so that it more easily penetrates into the space between the carrier substrate and the semiconductor chip. Moreover, by preheating the carrier  
25 substrate, the thermosetting resin composition is allowed to penetrate fully into the entire space between the carrier substrate and the semiconductor chip.

Cured reaction products of the thermosetting resin compositions of the present invention demonstrate excellent  
30 adhesive force, heat resistance and electric properties, and acceptable mechanical properties, such as flex-cracking resistance, chemical resistance, moisture resistance and the like, for the applications for which they are used herein.

The amount of thermosetting resin composition  
35 applied should be suitably adjusted so as to fill almost completely the space between the carrier substrate and the semiconductor chip, which amount of course may vary depending on application.

Thermosetting resin compositions of the present invention may ordinarily be cured by heating to a temperature in the range of about 120 to about 180°C for a period of time of about 0.5 to 30 minutes. However, generally after application of the composition, an initial cure time of about 1 minute sets up the composition, and complete cure is observed after about 15 minutes at 150°C. Thus, the composition of the present invention can be used in relatively moderate temperatures and short-time curing conditions, and hence achieve very good productivity.

The present invention will be more readily appreciated with reference to the examples which follow.

#### EXAMPLES

In these examples, compositions in accordance with the present invention were prepared and evaluated for performance in contrast with compositions prepared without the cyanate ester component of the latent hardener component. Results are set forth below.

##### Example 1

##### Thermosetting Resin Composition -- 1 Minute Gel Time

A. A thermosetting resin composition for underfill applications in accordance with the present invention was prepared by mixing together with stirring for a period of time of about 10 minutes at room temperature in an open vessel an epoxy resin component including 92 parts by weight of bisphenol F-type epoxy resin, and a latent hardener component including 4 parts by weight of 2-ethyl-4-methylimidazole, and 4 parts by weight of 1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene as a cyanate ester resin (commercially available under the tradename AROCY 366 from Ciba-Geigy).

While the composition was used upon formation, it may be stored for a period of time of up to about 3 to about 6 months at a temperature of about -20°C without experiencing viscosity increase.

After formation, the composition was transferred



to a 10 ml syringe made of non-reactive plastic, and the composition was dispensed through the 12G needle of the syringe into the junction between the carrier substrate and semiconductor chip in a previously-formed assembly. As such, the composition acts as an encapsulant for the electrical solder connection.

After dispensing was complete, the assembly was transferred to an oven while the temperature was maintained at about 150°C. The composition cured initially after about 1 minute, and thereafter cured completely after about 15 minutes at that temperature.

Separately, the composition was placed between a pair of lap shears and cured in the same way as the assembly above. The bound lap shears were removed from the oven and allowed to reach room temperature, at which point they were evaluated for bond strength. The cured composition was found to possess lap shear strength of about 1660 psi -- which is acceptable for the microelectronic applications which the compositions are designed.

With respect to shelf-life stability, as noted above the gel time of the composition was tailored to 1 minute at a temperature of 150°C. This composition was observed to experience no viscosity increase at room temperature after a period of time of 6 hours; after a period of time of about 15 hours the viscosity increase was observed to be about 52%; and after a period of time of about 24 hours the viscosity increase was observed to be about 88%.

B. A comparable composition was prepared in which no cyanate ester was added. That composition included 96.5 parts bisphenol F-type epoxy resin and 3.5 parts of 2-ethyl-4-methylimidazole. The composition was also tailored to a gel time of about 1 minute at a temperature of 150°C. The lap shear strength was observed to be about 1670 psi.

This composition was applied as above, and demonstrated a viscosity increase at room temperature after a period of time of about 6 hours of about 13%; after a

period time of about 15 hours of about 153% and after a period of time of 24 hours of about 267%.

Accordingly, it is seen that the presence of the cyanate ester component in the inventive compositions has a dramatic affect in maintaining a useful working life for the compositions with respect to slowing viscosity increase over time at room temperature.

#### Example 2

##### Thermosetting Resin Composition -- 2 Minute Gel Time

A. A thermosetting resin composition for underfill applications in accordance with the present invention was prepared by mixing together with stirring for a period of time of about 10 minutes at room temperature in an open vessel an epoxy resin component including 88 parts by weight of bisphenol F-type epoxy resin, and a latent hardener component including 8 parts by weight of 2-ethyl-4-methylimidazole, and 4 parts by weight of 1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene as a cyanate ester resin (AROCY 366).

While this composition was used upon formation, it too may be stored for a period of time of up to about 3 to about 6 months at a temperature of about -20°C without experiencing viscosity increase.

After formation, the composition was transferred to a 10ml syringe made of non-reactive plastic, and the composition was dispensed through the 12G needle of the syringe into the junction between the carrier substrate and semiconductor chip in a previously-formed assembly to act as an encapsulant for the electrical solder connection.

After dispensing was complete, the assembly was transferred to an oven while the temperature was again maintained at about 150°C. The composition cured initially after about 2 minutes, and thereafter cured completely after about 15 minutes at that temperature.

This composition was also placed between a pair of lap shears and cured in the same way as the assembly above, and as in Example 1. The lap shears were removed from the

oven and allowed to reach room temperature, at which point they were evaluated for bond strength. The cured composition was found to possess lap shear strength of about 1620 psi -- which is acceptable for the microelectronic applications for which the compositions are designed.

With respect to shelf-life stability, as noted above the gel time of the composition was tailored to 2 minute at a temperature of 150°C. This composition was observed to experience a viscosity increase at room temperature after a period of time of 6 hours of about 3%; after a period of time of about 15 hours the viscosity increase was observed to be about 10%; and after a period of time of about 24 hours the viscosity increase was observed to be about 20%.

B. A comparable composition was prepared in which no cyanate ester was added. That composition included 97.5 parts bisphenol F-type epoxy resin and 2.5 parts of 2-ethyl-4-methylimidazole. The composition was also tailored to a gel time of about 2 minutes at a temperature of 150°C. The lap shear strength was observed to be about 1600 psi.

This composition was applied as above, and demonstrated a viscosity increase at room temperature after a period of time of about 6 hours of about 16%; after a period time of about 15 hours of about 82% and after a period of time of 24 hours of about 162%.

Accordingly, it is seen that the presence of the cyanate ester in the inventive compositions has a dramatic affect in maintaining a useful working life for the compositions with respect to slowing viscosity increase over time at room temperature.

What Is Claimed Is:

1. A thermosetting resin composition capable of sealing underfilling between a semiconductor device and a circuit board to which said semiconductor device is electrically connected, said composition comprising:

- (a) an epoxy resin component; and
- (b) a latent hardener component comprising
  - (i) a cyanate ester component; and
  - (ii) an imidazole component.

2. The composition of Claim 1, wherein said epoxy resin comprises at least one multifunctional epoxy resin.

3. The composition according to Claim 1, wherein the epoxy resin component includes members selected from the group consisting of C<sub>6</sub>-C<sub>28</sub> alkyl glycidyl ethers; C<sub>6</sub>-C<sub>28</sub> fatty acid glycidyl esters; C<sub>6</sub>-C<sub>28</sub> alkylphenol glycidyl ethers; polyglycidyl ethers of pyrocatechol, resorcinol, hydroquinone, 4,4'-dihydroxydiphenyl methane, 4,4'-dihydroxy-3,3'-dimethyldiphenyl methane, 4,4'-dihydroxydiphenyl dimethyl methane, 4,4'-dihydroxydiphenyl methyl methane, 4,4'-dihydroxydiphenyl cyclohexane, 4,4'-dihydroxy-3,3'-dimethyldiphenyl propane, 4,4'-dihydroxydiphenyl sulfone, and tris(4-hydroxyphenyl)methane; polyglycidyl ethers of the chlorination and bromination products of the above-mentioned diphenols; polyglycidyl ethers of novolacs; polyglycidyl ethers of diphenols obtained by esterifying ethers of diphenols obtained by esterifying salts of an aromatic hydrocarboxylic acid with a dihaloalkane or dihalogen dialkyl ether; polyglycidyl ethers of polyphenols obtained by condensing phenols and long-chain halogen paraffins containing at least two halogen atoms; N,N'-diglycidyl-aniline; N,N'-dimethyl-N,N'-diglycidyl-4,4'-diaminodiphenyl methane;

N,N,N',N'-tetraglycidyl-4,4'-diaminodiphenyl methane;  
N-diglycidyl-4-aminophenyl glycidyl ether;  
N,N,N',N'-tetraglycidyl-1,3-propylene bis-4-aminobenzoate;  
bisphenol A epoxy resin, bisphenol F epoxy resin, phenol  
novolac epoxy resin, and cresol novolac epoxy resin.

4. The composition according to Claim 1, wherein the epoxy resin component includes bisphenol F epoxy resin and epoxy cresol novolac resin.

5. The composition according to Claim 1, wherein the epoxy resin component includes about 80 parts bisphenol F epoxy resin and about 20 parts epoxy cresol novolac resin.

6. The composition according to Claim 1, wherein the latent hardener component is employed in an amount within the range of from 0 to about 30 parts.

7. The composition according to Claim 1, wherein the cyanate ester component is chosen from aryl compounds having at least one cyanate ester group on each molecule.

8. The composition according to Claim 1, wherein the cyanate ester component is represented by  $\text{Ar}(\text{OCN})_m$ , wherein Ar is an aromatic radical and m is an integer from 2 to 5.

9. The composition according to Claim 1, wherein the cyanate ester component is selected from the group consisting of 1,3-dicyanatobenzene; 1,4-dicyanatobenzene; 1,3,5-tricyanatobenzene; 1,3-, 1,4-, 1,6-, 1,8-, 2,6- or 2,7-dicyanatonaphthalene; 1,3,6-tricyanatonaphthalene; 4,4'-dicyanato-biphenyl; bis(4-cyanatophenyl)methane and 3,3',5,5'-tetramethyl bis(4-cyanatophenyl)methane; 2,2-bis(3,5-dichloro-4-cyanatophenyl)propane; 2,2-bis(3,5-dibromo-4-dicyanatophenyl)propane; bis(4-cyanatophenyl)ether; bis(4-cyanatophenyl)sulfide; 2,2-bis(4-cyanatophenyl)propane;

tris(4-cyanatophenyl)-phosphite;  
tris(4-cyanatophenyl)phosphate;  
bis(3-chloro-4-cyanatophenyl)methane; cyanated novolac;  
1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene and  
cyanated bisphenol-terminated polycarbonate or other  
thermoplastic oligomer.

10. The composition according to Claim 1, wherein  
the cyanate ester component is  
1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene.

11. The composition according to Claim 1, wherein  
the cyanate ester component is employed in an amount within  
the range of 0 to about 15 parts.

12. The composition according to Claim 1, wherein  
the imidizole component is a member selected from the group  
consisting of imidizole, isoimidizole, 2-methyl imidizole,  
2-ethyl-4-methylimidizole, 2,4-dimethylimidizole,  
butylimidizole, 2-heptadecenyl-4-methylimidizole,  
2-methylimidizole, 2-undecenylimidizole,  
1-vinyl-2-methylimidizole, 2-n-heptadecylimidizole,  
2-undecylimidizole, 2-heptadecylimidizole,  
2-phenylimidizole, 1-benzyl-2-methylimidizole,  
1-propyl-2-methylimidizole, 1-cyanoethyl-2-methylimidizole,  
1-cyanoethyl-2-ethyl-4-methylimidizole,  
1-cyanoethyl-2-undecylimidizole,  
1-cyanoethyl-2-phenylimidizole,  
1-guanaminoethyl-2-methylimidizole and addition products of  
an imidizole methylimidizole and addition products of an  
imidizole and trimellitic acid,  
2-n-heptadecyl-4-methylimidizole, phenylimidazol,  
benzylimidizole, 2-methyl-4,5-diphenylimidizole,  
2,3,5-triphenylimidizole, 2-styrylimidizole, 1-(dodecyl  
benzyl)-2-methylimidizole,  
2-(2-hydroxyl-4-t-butylphenyl)-4,5-diphenylimidizole,  
2-(2-methoxyphenyl)-4,5-diphenylimidizole,  
2-(3-hydroxyphenyl)-4-,5-diphenylimidizole,

2-(p-dimethyl-aminophenyl)-4,5-diphenylimidazole,  
2-(2-hydroxyphenyl)-4,5-diphenylimidazole,  
di(4,5-diphenyl-2-imidazole)-benzene-1,4,  
2-naphthyl-4,5-diphenylimidazole,  
1-benzyl-2-methylimidazole, 2-p-methoxystyrylimidazole, and  
the combinations thereof.

13. The composition according to Claim 1, wherein  
the imidazole component is 2-ethyl-4-methylimidazole.

13. The composition according to Claim 1, wherein  
the imidazole component is employed in an amount within the  
range of 0 to about 15 parts.

14. A thermosetting resin composition capable of  
sealing underfilling between a semiconductor device and a  
circuit board to which said semiconductor device is  
electrically connected, said composition comprising:

- (a) about 92 parts by weight of an epoxy resin  
component comprising bisphenol F epoxy resin, and
- (b) about 8 parts by weight of latent hardening  
component, of which 4 parts is comprised of (i) a cyanate  
ester component comprising  
1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene and 4  
parts is comprised of (ii) an imidazole component comprising  
2-ethyl-4-methylimidazole.

15. A thermosetting resin composition capable of  
sealing underfilling between a semiconductor device and a  
circuit board to which said semiconductor device is  
electrically connected, said composition comprising:

- (a) about 88 parts by weight of an epoxy resin  
component comprising bisphenol F epoxy resin, and
- (b) about 12 parts by weight of latent hardening  
component, of which 4 parts is comprised of a cyanate ester  
component comprising  
1,3-bis[4-cyanatophenyl-1-(methylethylidene)]benzene and 8  
parts is comprised of an imidazole component comprising

2-ethyl-4-methylimidazole.

16. The composition of Claim 1, having a viscosity of less than about 50,000 mPa·s at a temperature of 25°C.

17. Reaction products according to any one of Claims 1-16.

18. A mounting structure for semiconductor devices, comprising:

a semiconductor device comprising a semiconductor chip mounted on a carrier substrate, and

a circuit board to which said semiconductor device is electrically connected,

wherein the space between the carrier substrate of said semiconductor device and said circuit board is sealed with a reaction product of a thermosetting resin composition comprising an epoxy resin component, and a latent hardener component comprising a cyanate ester component, and an imidazole component.

19. The mounting structure according to Claim 18, wherein the thermosetting resin composition is in accordance with Claims 14 or 15.

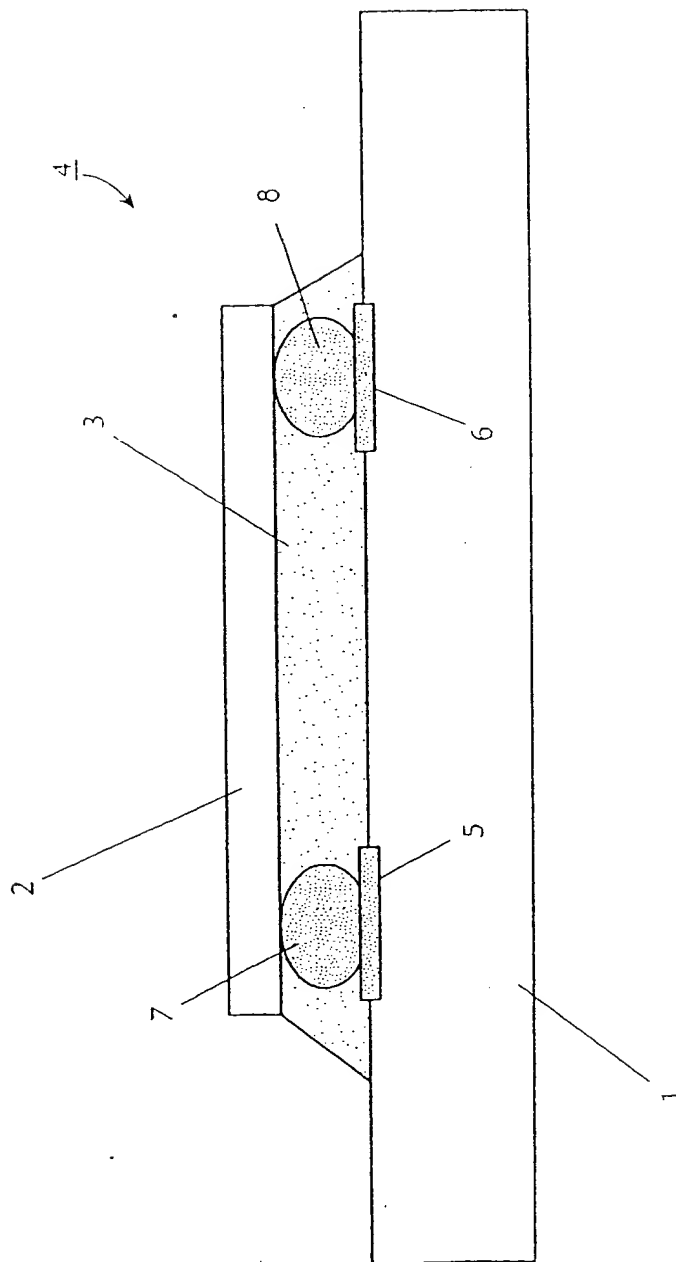
20. A process for fabricating semiconductor devices, said process comprising the steps of:

electrically connecting a semiconductor device comprising a semiconductor chip mounted on a carrier substrate, to a circuit board;

infiltrating a thermosetting resin composition into the space between the carrier substrate of said semiconductor device and said circuit board, wherein the composition comprises an epoxy resin component; and a latent hardener component comprising a cyanate ester component, and an imidazole component; and curing the composition by the application of heat.



FIG. 1



SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

national application No.  
PCT/US98/15578

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) C08G 59/68; H01L 23/02

US CL 528/93, 94; 174/52.5

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 528/93, 94; 174/52.5

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,330,684 A (EMORI et al.) 19 July 1994, see entire document.	1-20
Y	US 5,534,356 A (MAHULIKAR et al.) 09 July 1996, see entire document.	18-20
Y	US 4,159,976 A (MORAN, JR.) 03 July 1979, see entire document.	1-20

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

04 OCTOBER 1998

Date of mailing of the international search report

29 OCT 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231.

Facsimile No. (703) 305-3230

Authorized officer

MARGARET GLASS

Telephone No. (703) 308-2351